



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Mastrianni et al.**

Serial No.: **09/865,243**

Filed: **May 25, 2001**

For: **Method and Apparatus for the Automatic Discovery of the Relationships between Applications and Their Associated Data and Configuration Files**

**35526**

PATENT TRADEMARK OFFICE  
CUSTOMER NUMBER

§  
§  
§  
§  
§  
§

Group Art Unit: **2164**

Examiner: **Channavajjala, Srirama T.**

Attorney Docket No.: **YOR920010278US1**

**Certificate of Mailing Under 37 C.F.R. § 1.8(a)**  
I hereby certify this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on July 7, 2005.  
By: Louise Fay  
Louise Fay

**TRANSMITTAL DOCUMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:  
ENCLOSED HEREWITH:

- Supplemental Information Disclosure Statement;
- Form PTO-1449;
- References AA-AK; and
- Our return postcard.

A fee in the amount of \$180.00 is required. Please charge this fee to IBM Corporation Deposit Account No. 50-0510. No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and I authorize the Commissioner to charge any fees for this extension to Deposit Account No. 50-0510.

Respectfully submitted,

Duke W. Yee  
Duke W. Yee

Registration No. 34,285

**YEE & ASSOCIATES, P.C.**

P.O. Box 802333

Dallas, Texas 75380

(972) 85-8777

ATTORNEY FOR APPLICANTS



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Mastrianni et al.**

Serial No.: **09/865,243**

Filed: **May 25, 2001**

For: **Method and Apparatus for the  
Automatic Discovery of the Relationships  
between Applications and Their Associated  
Data and Configuration Files**

§

§ Group Art Unit: **2164**

§

§ Examiner: **Channavajjala, Srirama T.**

§

§ Attorney Docket No.: **YOR920010278US1**

§

§

§

§

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. 1.97**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97.

Please charge any fees necessary for prosecution of the present application to Deposit Account No. 50-0510. If any extension of time is required, such extension is hereby requested. Please charge any additional required fee for extension of time to Deposit Account No. 50-0510.

In accordance with 37 C.F.R. 1.97(h), the filing of this Supplemental Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standards of materiality defined in 37 C.F.R. 1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. 1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. 1.97(g), the filing of this Supplemental

07/13/2005 MAHMED1 00000024 500510 09865243

01 FC:1806 180.00 DA

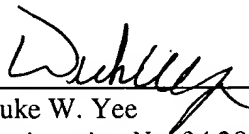
Information Disclosure Statement shall not be construed to mean that a search has been made.

This Supplemental Information Disclosure Statement is being filed after the mailing date of a final action or a notice of allowance, on or before the payment of the issue fee.

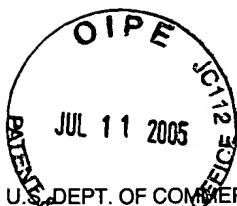
Please charge the required fee of \$180.00 as set forth in § 1.17(p) to Deposit Account No. 50-0510.

Date: 7/7/05

Respectfully submitted,



Duke W. Yee  
*Registration No. 34,285*  
Yee & Associates, P.C.  
P.O. Box 802333  
Dallas, Texas 75380  
(972) 385-8777



Form PTO-1449

**LIST OF PRIOR ART CITED  
BY APPLICANT**

(Use several sheets if necessary)

ATTORNEY DOCKET NO.  
**YOR920010278US1**SERIAL NO.  
**09/865,243**APPLICANT **Mastrianni et al.**FILING DATE **May 25, 2001**GROUP ART UNIT **2164****U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	INVENTOR NAME	CLASS/ SUBCLASS	FILING DATE
	AA 2004/0236916 A1	Nov. 25, 2004	Berkowitz et al.	711/162	Jun. 25, 2004
	AB 2004/0107199 A1	Jun. 3, 2004	Dalrymple, III et al.	707/100	Jul. 22, 2003
	AC 2005/0021566 A1	Jan. 27, 2005	Mu	707/200	May 28, 2004
	AD 2004/0193953 A1	Sep. 30, 2004	Callahan et al.	714/15	Feb. 21, 2003
	AE 6,341,341 B1	Jan. 22, 2002	Grummon et al.	711/162	Dec. 16, 1999
	AF 6,330,570 B1	Dec. 11, 2001	Crighton	707/204	Feb. 6, 1999
	AG 6,513,051 B1	Jan. 28, 2003	Bolosky et al.	707/204	Jul. 16, 1999

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO
	AH EP0566964B1	Aug. 6, 1997	EPO	G06F 11/14	<input type="checkbox"/> X
	AI EP1484680A1	Dec. 8, 2004	EPO	G06F 11/14	<input type="checkbox"/> x
	AJ WO 99/66401	Dec. 23, 1999	WIPO	G06F 11/14	<input type="checkbox"/> x

**OTHER PRIOR ART** (including author, title, date, pertinent page, etc.)

	AK	Chervenak et al., "Protecting File Systems: A Survey of Backup Techniques (1998)", CiteSeer, pp. 1-15.

**RELATED PATENT APPLICATIONS**

EXAMINER INITIAL	APPLICATION NO./ ATTY. DOCKET NO.	APPLICANT	TITLE	FILING DATE

DATE CONSIDERED

EXAMINER

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.